PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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	ANSMITTAL LETTER TO THE UNITED STATES	ATTTORNEY'S DOCKET NUMBER 915-006-70								
	DESIGNATED/ELECTED OFFICE (DO/EO/US) NCERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION NO (ff known) see 87 CFR 1.5)								
		PRIORITY DATE CLAIMED								
	B2002/002614 July 4, 2002	July 4, 2002								
TITLE OF INVENTION METHOD AND DEVICE FOR REPRODUCING MULTI-TRACK DATA ACCORDING TO PREDETERMINED CONDITIONS										
APPLICANT(S) FOR DO/EO/US Wolfgang THEIMER, Knut HABERLAND-SCHLOSSER, Peter WEINGART, Reza SERAFAT, Matthias LUCK, Udo GORTZ and Klaus RATEITSCHEK										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. X	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
з. 🗓	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.	The US has been elected (Article 31).									
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. Is attached hereto (required only if not communicated by the International Bureau).									
	b. X has been communicated by the International Bureau.									
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. 🖸	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
	a. is attached hereto.									
	b. has been previously submitted under 35 U.S.C. 154(d)(4).									
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	a. are attached hereto (required only if not communicated by the International Bureau).									
	b. have been communicated by the International Bureau.									
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
	d. have not been made and will not be made.									
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10. 🗓	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). annexes enclosed are in the English language									
Items 11 to 20 below concern document(s) or information included:										
11. 🗓	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12. 🔲	An assignment document for recording. A separate cover sheet in compliance with	th 37 CFR 3.28 and 3.31 is included.								
13. X	A preliminary amendment.									
14.	An Application Data Sheet under 37 CFR 1.76.									
15. X	A substitute specification.									
16.	A power of attorney and/or change of address letter.									
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.								
18. 🗓	A second copy of the published International Application under 35 U.S.C. 154(d)	(4).								
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20. 🔼	Other items or information: Intenational Search Report, PCT/IB/308, PCT/IB/332, IPEA									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/IB2002/002614						ATTORNEY'S DOCKET NUMBER			
	-0/5198	915-006.70							
21. The follows									
X a) Basic national fee\$300.00						\$ 300.00	ļ		
X b) Examin	ation fee	\$ 200.00							
X c) Search f	ee	\$ 500.00							
TOTAL OF ABOVE CALCULATIONS = \$1000.00						\$1,000.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra sheets	Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number)		RATE					
- 100 =	/50 =				× \$250.00	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$			
CLAIMS	NUMBER FI	MBER FILED NUMBER EXTRA		RATE		\$			
Total claims	27	- 20 =	7	x	\$50.00	\$ 350.00			
Independent dai	ms 3	- 3 =	0	x \$200.00		\$			
MULTIPLE DEP	ENDENT CLAIM(S) (if a	oplicable)		+	\$360.00	\$			
			TOTAL OF ABOVE C			\$ 1.350.00			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.									
			<u> </u>	s	UBTOTAL =	<b>\$</b> 1,350.00			
	of \$130.00 for furnishing that (37 CFR 1.492(f)).	\$							
		\$ 1,350.00							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property									
TOTAL FEES ENCLOSED = \$ 1,350.00									
		Amount to be refunded:	\$						
		Amount to be charged:	\$						
a. X A chec	k in the amount of \$ 1	,350.00	to cover the above	o fees	is endosed	•			
a. X A check in the amount of \$ 1,350.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No.23-0442. A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed									
	restore the Internationa	п Аррисато	n to pending status.		>	1. 4	_		
SEND ALL CORRESPONDENCE TO:  WARE, FRESSOLA, VAN DER SLITYS  WARE, FRESSOLA, VAN DER SLITYS									
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31,391  REGISTRATION NUMBER									
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FORM PTO-1390 (REV. 12-2004)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wolfgang THEIMER et al

International Application No.: PCT/IB2002/002614

Group No.:

International Filing Date: July 4, 2002

Examiner:

For: METHOD AND DEVICE FOR REPRODUCING MULTI-TRACK DATA

ACCORDING TO PREDETERMINED CONDITIONS

Filed: Herewith

Docket: 915-006.70

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

This mailing contains:

Transmittal Letter to the DO/EO/US

Copy of International Publication No. WO 2004/006209 A1

Preliminary Amendment Substitute Specification

Information Disclosure Statement

PTO-Form 1449 and references

Copy of International Search Report, PCT/IB/308, PCT/IB/332

Copy of IPEA

Check for payment of the fee in the amount of \$1,350.00

Self-addressed stamped return postcard

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. §§ 1.8(a) and 1.10)

I hereby certify that this correspondence is, on the date shown below, being:

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Date: December 30, 2004

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature'

Lisa Ramos

(type or print name of person certifying)